

INDIVIDUAL INFORMATION

NAME:	NAME:
ADDRESS:	ADDRESS:
CITY, STATE, ZIP	CITY, STATE, ZIP
RES. PHONE #	RES. PHONE #
SS#	SS#

STATEMENT OF FINANCIAL CONDITION AS OF _____, 20 ____.

ASSETS	IN DOLLARS	LIABILITIES	IN DOLLARS
Cash in bank	\$	Notes payable to banks – secured	\$
IRA or other retirement accounts	\$	Notes payable to banks – unsecured	\$
U.S. Govt. Marketable Securities– see Schedule A	\$	Due to brokers	\$
Non-Marketable Securities – see Schedule B	\$	Amounts payable to others – secured	\$
Securities held by broker in margin accounts	\$	Amounts payable to others - unsecured	\$
Restricted or control stocks	\$	Accounts and bills due	\$
Partial interest in Real Estate – see Schedule C	\$	Real Estate Mortgages payable – see Schedule D	\$
Real Estate Owned – see Schedule D	\$	Unpaid income tax	\$
Loans Receivable	\$	Other unpaid taxes and interest	\$
Automobiles and other personal property	\$	Other debts	\$
Cash value – life insurance – see Schedule E	\$		
Value of Practice	\$		
Other assets include jewelry, furniture, etc.	\$		
		TOTAL LIABILITIES	\$
		NET WORTH	\$
TOTAL ASSETS	\$	TOTAL LIABILITIES AND NET WORTH	\$

SOURCES OF INCOME		PERSONAL INFORMATION	
Salary, bonuses & commissions	\$	Business of Occupation?	
Dividends	\$	Are you a partner or officer in any other venture? If so describe:	
Real estate income	\$	Are any assets pledged other than as described on schedules? If so described:	
Other income (alimony, child support, or separate maintenance – optional)	\$	Are there any unsatisfied judgments against you?	
TOTAL	\$	Are your taxes current?	
CONTINGENT LIABILITIES		Do you have a will?	
Do you have any contingent liabilities? If so describe		Personal bank accounts carried at:	
As endorser, co-maker or guarantor?	\$	Have you ever been declared bankrupt?	
On leases of contracts?	\$		
Unsettled claims	\$	Marital Status (married, divorced, single)	
Other special debt	\$	Number of dependants	
		Spouses name	

SCHEDULE A – U.S. GOVERNMENTS & MARKETABLE SECURITIES

NUMBER OF SHARES OF FACE VALUE (BONDS)	DESCRIPTION	IN NAME OF	ARE THESE PLEDGED?	SOURCE OF VALUE	MARKET VALUE

SCHEDULE B – NON-MARKETABLE SECURITIES

NUMBER OF SHARES	DESCRIPTION	IN NAME OF	ARE THESE PLEDGED?	SOURCE OF VALUE	MARKET VALUE

SCHEDULE C – PARTIAL INTERESTS IN REAL ESTATE

ADDRESS & TYPE OF PROPERTY	TITLE IN NAME OF	% OF OWNERSHIP	DATE ACQUIRED	COST	MARKET VALUE	MORTGAGE MATURITY	MORTGAGE BALANCE

SCHEDULE D – REAL ESTATE OWNED

ADDRESS & TYPE OF PROPERTY	TITLE IN NAME OF	DATE ACQUIRED	COST	MARKET VALUE	MORTGAGE MATURITY	MORTGAGE BALANCE	MONTHLY PAYMENT

SCHEDULE E – LIFE INSURANCE CARRIED, INCLUDING N.S.L.I. AND GROUP INSURANCE

NAME OF INSURANCE COMPANY	OWNER OF POLICY	BENEFICIARY	FACE COST	POLICY VALUE	CASH SURRENDER VALUE

SCHEDULE F – BANKS OR FINANCE COMPANIES WHERE CREDIT HAS BEEN OBTAINED

NAME & ADDRESS OF LENDER	CREDIT THE NAME OF	SECURED OR UNSECURED	ORIGINAL DATE	ORIGINAL BALANCE	CURRENT BALANCE	MONTHLY PAYMENT

FOR THE PURPOSE OF PROCURING CREDIT OF ANY OTHER FINANCIAL ACCOMMODATION FROM YOU FROM TIME TO TIME, DIRECT OR CONTINGENT, THE UNDERSIGNED REPRESENTS THAT THE FOLLOWING IS A TRUE STATEMENT OF THE FINANCIAL CONDITION OF THE UNDERSIGNED AND ALL FACTS HEREIN SET FORTH, AND FOR SUCH PURPOSE AGREES THAT YOU MAY AT ANY TIME HEREAFTER ASSUME THAT THE CONDITIONS AND AFFAIRS OF THE UNDERSIGNED HAVE CONTINUED TO BE SUBSTANTIALLY AS GOOD AS HEREIN SET FORTH AND THAT THERE HAS BEEN NO CHANGE MATERIALLY IMPAIRING THE ABILITY OF THE UNDERSIGNED TO PAY ALL CLAIMS AND DEMANDS AGAINST THE UNDERSIGNED, UNLESS YOU SHALL HAVE BEEN NOTIFIED IN WRITING TO THE CONTRARY BY THE UNDERSIGNED, AND FOR SUCH PURPOSE THE UNDERSIGNED FURTHER AGREES TO NOTIFY YOU IMMEDIATELY IN WRITING OF ANY SUBSTANTIAL CHANGE IN THE CONDITION OR AFFAIRS OF THE UNDERSIGNED.

Signature

Signature

Print Name

Date

Print Name

Date